

Atty Docket No.: 02427/1203347-US2

Inventor: Brian Sorrentino et al.

Appln: 09/866,866

Filed: May. 29, 2001

Title: METHOD OF IDENTIFYING AND/OR ISOLATING  
STEM CELLS AND PROGNOSING  
RESPONSIVENESS TO LEUKEMIA

**Documents:**

Certificate of Express Mailing (1 page)  
Transmittal (1 page)  
Amendment Transmittal (1 page)  
Two Month Request for Extension of Time Under 37 CFR 1.136(a) (1  
page)  
Amendment in Response to Non-Final Office Action (12 pages),  
including Exhibit 1 (1 page)  
Information Disclosure Statement (2 pages)  
IDS (Citation) by Applicant (4 References) (1 page)  
Check in the amount of \$405.00

EV692736119US

Via: Express Mail: Airbill No.

Sender Initials:

Date: December 20, 2005

PFF/AK/adc

|   |   |                             |                          |
|---|---|-----------------------------|--------------------------|
| <b>DARBY &amp; DARBY, P.C.</b>  |   | 1-8-210                     | 10668                    |
| <b>PATENT OFFICE ACCOUNT</b>  |   |                             |                          |
| 805 THIRD AVENUE  |   | DATE <u>12/20/2005</u>      |                          |
| NEW YORK, NY 10022  |   |                             |                          |
| PAY TO THE<br>ORDER OF  | <b>COMMISSIONER OF PATENTS &amp; TRADEMARKS</b> | \$ <u>405.00</u>            |                          |
| <u>Four Hundred &amp; Five Dollars</u> <del>xxx</del>                       |   | DOLLARS                     | <input type="checkbox"/> |
| <b>citibank</b>   |   |                             |                          |
| CITIBANK, N.A. BR #852<br>666 FIFTH AVENUE, 5TH FLOOR<br>NEW YORK, NY 10103 |   | The Citigroup Private Bank  |                          |
| MEMO  | <u>02427/1203347-US2</u>                        | <u>Gabriella V. Karanji</u> |                          |
| ⑈021000089⑈   |   | 43348074⑈                   | 0668                     |

Application No. (if known): 09/866,866

Attorney Docket No.: 02427/1203347-US2

## Certificate of Express Mailing Under 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service as  
Express Mail, Airbill No. \_\_\_\_\_ in an envelope addressed to:

MS Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

on December 20, 2005  
\_\_\_\_\_  
Date

*M. A. Zuccaro*

Signature

*TRACEY-ANNE ZUCCARO*

Typed or printed name of person signing Certificate

\_\_\_\_\_  
Registration Number, if applicable

\_\_\_\_\_  
Telephone Number

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Transmittal (1 page)  
Amendment Transmittal (1 page)  
Two Month Request for Extension of Time Under 37 CFR 1.136(a) (1  
page)  
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Information Disclosure Statement (2 pages)  
Check in the amount of \$405.00  
Return receipt postcard

# AMENDMENT TRANSMITTAL LETTER

Docket No.  
02427/1203347-US2

|                               |                             |                      |                  |
|-------------------------------|-----------------------------|----------------------|------------------|
| Application No.<br>09/866,866 | Filing Date<br>May 29, 2001 | Examiner<br>Q. J. Li | Art Unit<br>1632 |
|-------------------------------|-----------------------------|----------------------|------------------|

Applicant(s): Brian Sorrentino et al.

Invention: METHOD OF IDENTIFYING AND/OR ISOLATING STEM CELLS AND PROGNOSING RESPONSIVENESS TO LEUKEMIA

## TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is an amendment in the above-identified application.

The fee has been calculated and is transmitted as shown below.

| CLAIMS AS AMENDED  |                                  |                                |                             |      |                  |
|--|----------------------------------|--------------------------------|-----------------------------|------|------------------|
|  | Claims Remaining After Amendment | Highest Number Previously Paid | Number Extra Claims Present | Rate |                  |
| Total Claims   | 8                                | - 28 =                         |                             | x    |                  |
| Independent Claims   | 3                                | - 3 =                          |                             | x    |                  |
| Multiple Dependent Claims (check if applicable) <input type="checkbox"/>                             |                                  |                                |                             |      |                  |
| Other fee (please specify): Extension for response within two month Information Disclosure Statement |                                  |                                |                             |      | 225.00<br>180.00 |
| TOTAL ADDITIONAL FEE FOR THIS AMENDMENT:   |                                  |                                |                             |      | 405.00           |

- ☐ Large Entity ☒ Small Entity
- ☐ No additional fee is required for this amendment.
- ☐ Please charge Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_.  
A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$ 405.00 to cover the filing fee is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 04-0100 as described below. A duplicate copy of this sheet is enclosed.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing or application processing fees required under 37 CFR 1.16 and 1.17.

*Amy G. Klann*  
Amy G. Klann

Attorney Reg. No.: 48,155

DARBY & DARBY P.C.  
P.O. Box 5257  
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(212) 527-7665

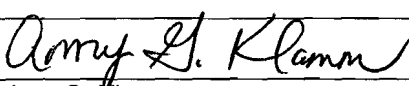
Dated: December 20, 2005

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

|  |                        |                   |
|--|------------------------|-------------------|
| <b>TRANSMITTAL<br/>FORM</b><br><br><i>(to be used for all correspondence after initial filing)</i> | Application Number     | 09/866,866        |
|  | Filing Date            | May 29, 2001      |
|  | First Named Inventor   | Brian Sorrentino  |
|  | Art Unit               | 1632              |
|  | Examiner Name          | Q. J. Li          |
| Total Number of Pages in This Submission   | Attorney Docket Number | 02427/1203347-US2 |

| ENCLOSURES <i>(Check all that apply)</i>  |  |  |
|---|--|--|
| <input type="checkbox"/> Fee Transmittal Form<br><br><input checked="" type="checkbox"/> Fee Attached<br><br><input checked="" type="checkbox"/> Amendment/Reply<br><br><input type="checkbox"/> After Final<br><br><input type="checkbox"/> Affidavits/declaration(s)<br><br><input checked="" type="checkbox"/> Extension of Time Request<br><br><input type="checkbox"/> Express Abandonment Request<br><br><input checked="" type="checkbox"/> Information Disclosure Statement<br><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><br><input type="checkbox"/> Reply to Missing Parts/Incomplete Application<br><br><input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><br><input type="checkbox"/> Licensing-related Papers<br><br><input type="checkbox"/> Petition<br><br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><br><input type="checkbox"/> Terminal Disclaimer<br><br><input type="checkbox"/> Request for Refund<br><br><input type="checkbox"/> CD, Number of CD(s) _____<br><br><input type="checkbox"/> Landscape Table on CD | <input type="checkbox"/> After Allowance Communication to TC<br><br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><br><input type="checkbox"/> Appeal Communication to TC <b>(Appeal Notice, Brief, Reply Brief)</b><br><br><input type="checkbox"/> Proprietary Information<br><br><input type="checkbox"/> Status Letter<br><br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br><br>Amendment Transmittal IDS (Citation) by Applicant (4 References) (1 page)<br>Return Receipt Postcard |
| <div style="border: 1px solid black; width: 100px; height: 20px; margin-bottom: 5px;"></div> Remarks  |  |  |

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

|              |   |          |        |
|--------------|---|----------|--------|
| Firm Name    | DARBY & DARBY P.C.  |          |        |
| Signature    |  |          |        |
| Printed name | Amy G. Klann  |          |        |
| Date         | December 20, 2005   | Reg. No. | 48,155 |